

UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A  
For use by Members, officers, and employees

Page 0 of 0

James L. Oberstar

(Full Name)

(202) 225-6211

(Daytime Telephone)

OFFICE OF REPRESENTATIVES

(Office Phone)

Filer ☒ Member of the U.S. House of Representatives  
State: MN District: 08

Officer Or Employee  
Employing Office:

Report Type ☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**DELIVERED**  
A DELIVERED be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name James L. Oberstar

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
AES		\$1,001 - \$15,000	None	NONE	
American Funds Money Market (Formerly American Cash Management Trust)		\$50,001 - \$100,000	DIVIDENDS	\$1 - \$200	
Basin Water		\$1 - \$1,000	None	NONE	
Blackboard		\$1,001 - \$15,000	None	NONE	
Cepheid		\$1,001 - \$15,000	None	NONE	
Congressional Federal Credit Union		\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

Conpuware Corp	\$1,001 - \$15,000	None	NONE
Nuance Communications	\$1,001 - \$15,000	None	NONE
Pozen Inc	\$1,001 - \$15,000	None	NONE
Universal Display Corp	\$1,001 - \$15,000	None	NONE
Wachovia Bank	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jean Oberstar

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
ABSOLUTE STRATEGIES FUND	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
ALLIANZ FUND NFJ LARGE CAP VALUE (FORMERLY ALLIANZ GLOBAL INVESTORS VALUE FUND)	None	DIVIDENDS	\$2,501 - \$5,000	PS
Allianz Nacm Intl	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
ALLIED CAPITAL CORP	\$1,001 - \$15,000	None	NONE	
Apple Computer	\$50,001 - \$100,000	None	NONE	

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jean Oberstar

Applied Materials	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
AQUILA THREE PEAKS HIGH INCOME	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
Artio Intl Equity	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
ARTIO TOTAL RETURN BOND FD	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS(part)
ASTON RIVER ROAD SMALL MID-CAP	\$15,001 - \$50,000	CAPITAL GAINS	\$1,001 - \$2,500	PS(part)
Automatic Data Processing	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
BASIN WATER	\$1 - \$1,000	None	NONE	
Blackboard Inc.	\$100,001 - \$250,000	None/CAPITAL GAINS	\$15,001 - \$50,000	S(part)
BLACKROCK INFLATION PROTECTED BOND	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
CABELA'S, INC	\$15,001 - \$50,000	None	NONE	P
CALAMOS MARKET NEUTRAL INCOME	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS(part)
CAPITAL ONE N.A. FORMERLY CHEVY CHASE BANK	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
Cepheid Inc.	\$100,001 - \$250,000	None	NONE	
CHAMPLAIN SMALL COMPANY	\$15,001 - \$50,000	CAPITAL GAINS	\$201 - \$1,000	PS(part)

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jean Oberstar

Cohen & Steers Quality Income Realty Fund	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Cortex Pharmaceuticals	\$1,001 - \$15,000	None	NONE	
DANAHER CORP	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
Diamond Hill Large Cap Fund	\$100,001 - \$250,000	DIVIDENDS//CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
Disney	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Dodge & Cox Global Stock fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
Drugstore.com	\$100,001 - \$250,000	None	NONE	
Exxon Mobil	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
FASTENAL CO	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
FEDERATED CAPITAL RESERVES	\$250,001 - \$500,000	None	NONE	P
Felicity St Charles, LLC	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
Financing Corp FICO Strips	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
First Commonwealth Financial	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
FPL Group	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jean Oberstar

General Electric	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
HAEMONETICS CORP	\$15,001 - \$50,000	None	NONE	
HARTFORD INS PUTS	None	CAPITAL GAINS	\$5,001 - \$15,000	S
Home Depot	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
INTERDIGITAL INC	\$50,001 - \$100,000	None	NONE	P
IRON MOUNTAIN INC	\$15,001 - \$50,000	None	NONE	
Ishares Iboxx Inv Grade	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
Janus Money Market Fund	\$1,001 - \$15,000	None	NONE	PS(part)
Jefferson Pilot Annuity	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
JKO Properties, LLC	\$100,001 - \$250,000	RENT/INTEREST	\$5,001 - \$15,000	
John Hancock Regional Bank Fund	\$250,001 - \$500,000	DIVIDENDS	\$2,501 - \$5,000	P
Johnson & Johnson	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
KC SOUTHERN PUTS	None	CAPITAL GAINS	\$5,001 - \$15,000	S
Kurth Bypass Trust	N/A	EXCEPTED TRUST	N/A	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jean Oberstar

Kurth QTIP A Trust	N/A	EXCEPTED TRUST	N/A		
LARA SHULL & MAY (CASH IN BROKERAGE ACCT)	\$100,001 - \$250,000	INTEREST	\$1 - \$200		
Marsico Global	\$15,001 - \$50,000	DIVIDENDS	\$2,501 - \$5,000	PS(part)	
Met West Total Return Bond Fund	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)	
Microsoft	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500		
NASDAQ OMX GROUP	\$1,001 - \$15,000	None	NONE		
NUANCE COMMUNICATIONS	\$50,001 - \$100,000	None	NONE		
Oakmark International	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)	
PIMCO COMMODITY REAL RETURN	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS(part)	
PIMCO DEVELOPING LOCAL MARKETS	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P	
PIMCO TOTAL RETURN	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P	
Pioneer Cullen Value A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000		
POZEN Inc	\$100,001 - \$250,000	None	NONE		
Prime Fund Daily Money Class	None	DIVIDENDS	\$201 - \$1,000	PS	



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Jean Oberstar

PRIMECAP ODYSSEY GROWTH	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
Proctor & Gamble	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
ROYCE VALUE TRUST	\$50,001 - \$100,000	None	NONE	P
SANDY SPRING BANK	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
Saul Centers REIT	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
SCHLUMBERGER LTD	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
Stericycle	\$50,001 - \$100,000	None	NONE	
Strayer Education	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
TCW TOTAL RETURN BOND	None	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	PS
Third Avenue Real Estate Value Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	PS(part)
THIRD AVENUE SMALL CAP	None	None	NONE	S
TRINITY INDUSTRIES	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
U.S. Treasury Notes	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	S(part)
Universal Display Corp	\$100,001 - \$250,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jean Oberstar

	None	DIVIDENDS	\$1 - \$200	S
VANGUARD FEDERAL MONEY MKT	None			
VANGUARD INFLATION PROTECTION SEC ADM	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS
WELLS FARGO (CASH) (FORMERLY WACHOVIA)	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
ZIMMER HOLDINGS	\$15,001 - \$50,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	ABSOLUTE STRATEGIES	P	N/A	6-30-09 7-22-09 10-16-09 12-31-09	\$1,001 - \$15,000
SP	ALLIANZ FUNDS NFJ LARGE CAP VALUE	P	N/A	MO DIV REINV	\$1,001 - \$15,000
SP	AQUILA THREE PEAKS HIGH INCOME	P	N/A	MONTHLY PURCHASE	\$1,001 - \$15,000
SP	AQUILA THREE PEAKS HIGH INCOME	S	Yes	3-25-09 12-11-09	\$1,001 - \$15,000
SP	ARTIO INTL EQUITY	S	Yes	7-22-09 10-16-09	\$1,001 - \$15,000
SP	ARTIO INTL EQUITY	P	N/A	3-25-09 12-11-09 12-29-09	\$1,001 - \$15,000
SP	ARTIO TOTAL RETURN BOND	P	N/A	MONTHLY REINV	\$1,001 - \$15,000
SP	ARTIO TOTAL RETURN BOND	S	No	3-25-09 10-16-09 12-11-09	\$1,001 - \$15,000
SP	ASTON RIVER ROAD SMALL MID CAP	S	Yes	10-16-09	\$1,001 - \$15,000
SP	ASTON RIVER ROAD SMALL MID CAP	P	N/A	3-25-09 7-22-09 12-11-09	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	BLACKBOARD	S	Yes	9-25-09	\$100,001 - \$250,000
SP	BLACKROCK INFLATION PROTECTED BOND	P	N/A	10-16-09	\$1,001 - \$15,000
SP	CABELA'S INC	P	N/A	5-14-09	\$15,001 - \$50,000
SP	CALAMOS MARKET NEUTRAL INCOME	P	N/A	QTRLY PURCHASE	\$1,001 - \$15,000
SP	CALAMOS MARKET NEUTRAL INCOME	S	Yes	3-25-09	\$1,001 - \$15,000
SP	CHAMPLAIN SMALL COMPANY	P	N/A	3-25-09 12-11-09	\$1,001 - \$15,000
SP	CHAMPLAIN SMALL COMPANY	S	Yes	7-22-09 10-16-09	\$1,001 - \$15,000
SP	DIAMOND HILL LARGE CAP	S	Yes	7-22-09 10-16-09 12-11-09	\$1,001 - \$15,000
SP	DIAMOND HILL LARGE CAP	P	N/A	3-25-09	\$1,001 - \$15,000
SP	DODGE & COX GLOBAL FUND	S	Yes	3-25-09 7-22-09 10-16-09	\$15,001 - \$50,000
SP	DODGE & COX GLOBAL FUND	P	N/A	12-11-09 12-21-09	\$1,001 - \$15,000

# SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	FASTENAL CO	P	N/A	5-14-09	\$15,001 - \$50,000
SP	FEDERATED CAPITAL RESERVES	P	N/A	12-1-09	\$250,001 - \$500,000
SP	GENERAL ELECTRIC	P	N/A	5-14-09	\$1,001 - \$15,000
SP	HARTFORD INS PUTS	S	Yes	11-23-09, 10-19-09, 10-7-09	\$1,001 - \$15,000
SP	INTERDIGITAL INC	P	N/A	9-25-09	\$50,001 - \$100,000
SP	JANUS MONEY MARKET	P	N/A	QTRLY	\$1,001 - \$15,000
SP	JANUS MONEY MARKET	S	No	QTRLY	\$1,001 - \$15,000
SP	JOHN HANCOCK REGIONAL BANK FUND	P	N/A	QTRLY DIV REINV	\$1,001 - \$15,000
SP	KC SOUTHERN PUTS	S	Yes	10-8-09, 10-20-09, 10-22-09	\$1,001 - \$15,000
SP	MARISCO GLOBAL	P	N/A	12-11-09 12-18-09	\$1,001 - \$15,000
SP	MARISCO GLOBAL	S	Yes	7-22-09 10-16-09	\$15,001 - \$50,000

# SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	METROPOLITAN WEST TOTAL RETURN	S	Yes	10-16-09 12-11-09 3-25-09	\$1,001 - \$15,000
SP	METROPOLITAN WEST TOTAL RETURN	P	N/A	MONTHLY REINV	\$1,001 - \$15,000
SP	OAKMARK INTL I	S	Yes	3-25-09 7-22-09 10-16-09	\$1,001 - \$15,000
SP	PAIN THERAPEUTICS	S	No	9-25-09	\$50,001 - \$100,000
SP	PIMCO COMMODITY REAL RETURN	P	N/A	QTRLY REINV	\$1,001 - \$15,000
SP	PIMCO DEVELOPING LOCAL MARKETS	P	N/A	10-16-09	\$1,001 - \$15,000
SP	PIMCO TOTAL RETURN	P	N/A	12-11-09	\$15,001 - \$50,000
SP	PRIME FUND DAILY MONEY	S	No	11-16-09	\$250,001 - \$500,000
SP	PRIME FUND DAILY MONEY	P	N/A	5-15-09	\$15,001 - \$50,000
SP	PRIMECAP ODYSSEY GROWTH	P	N/A	7-22-09 10-16-09 12-11-09	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
SP	ROYCE VALUE TRUST	P	N/A	9-25-09	\$50,001 - \$100,000
SP	SCHLUMBERGER LTD	P	N/A	5-14-09	\$15,001 - \$50,000
SP	TCW TOTAL RETURN	P	N/A	MONTHLY REINV	\$1,001 - \$15,000
SP	TCW TOTAL RETURN	S	Yes	7-22-09 10-16-09 12-11-09	\$50,001 - \$100,000
SP	THIRD AVENUE REAL ESTATE	P	N/A	3-25-09 12-11-09 12-22-09	\$1,001 - \$15,000
SP	THIRD AVENUE REAL ESTATE	S	Yes	7-22-09 10-16-09	\$1,001 - \$15,000
SP	THIRD AVENUE SMALL CAP	S	Yes	7-22-09	\$15,001 - \$50,000
SP	VANGUARD INFLATION PROTECTED SEC	P	N/A	7-22-09	\$1,001 - \$15,000
SP	VANGUARD INFLATION PROTECTED SEC	S	Yes	3-25-09 10-16-09	\$1,001 - \$15,000
SP	VANGUARD MONEY MARKET	S	No	QTRLY SALES	\$1,001 - \$15,000

# SCHEDULE V - LIABILITIES

Name James L. Oberstar

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	WELLS FARGO	MARGIN ACCOUNT ON INVESTMENTS	\$50,001 - \$100,000



**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name James L. Oberstar

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	Feb. 14-20	DC-Amman, Jordan, DC	Y	Y	Y	None
Aspen Institute	Apr. 4-10	DC-Valencia, Spain-DC	Y	Y	Y	None

**SCHEDULE VIII - POSITIONS**

Name James L. Oberstar

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees (position by federal statute)	John F. Kennedy Center for the Performing Arts
Board of Trustees (position by federal statute)	International Institute for Surface Transportation Policy Studies
Honorary Board Member	George Washington University Medical Center, Cancer Center Advisory Board
National Advisory Council Member	Active Living by Design - University of North Carolina